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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Burton, David, et al.) PATENT
Serial No.: 10/731,816)
Filed: December 9, 2003)
Title: Methods and Apparatus for)
Monitoring Consciousness)
Art Group Unit: Unknown
Examiner: Unknown
Atty Dkt. No. 24,577-20US

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

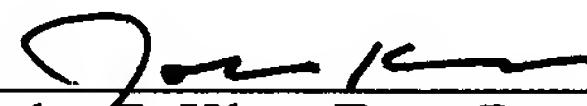
Enclosed herewith are the following items:

1. Information Disclosure Statement (2 pages);
2. Information Disclosure Statement forms PTO/SB/08A (1 page) and PTO/SB08B (1 page);
3. References (42 pages); and
4. Return receipt post card.

Please direct any questions or comments regarding this application or Information Disclosure Statement to John F. Klos (612) 321-2806.

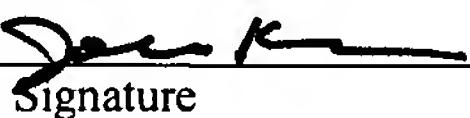
Respectfully submitted,
Compumedics Limited,
by its attorneys,

Dated: May 25, 2004


John F. Klos, Esq., Reg. No. 37,162
Fulbright & Jaworski L.L.P.
80 South Eighth Street, Suite 2100
Minneapolis, MN 55402-2112
Telephone: (612) 321-2806

CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8: I hereby certify that this paper and any papers referred to herein are being deposited with the U.S. Postal service, as first class mail, postage prepaid, addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on May 25, 2004.

John F. Klos:


Signature



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INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. § 1.97 or § 1.98, Applicant in the above-identified application submits this Information Disclosure Statement together with the enclosed forms PTO/SB/08A and PTO/SB/08B in order to bring to the Examiner's attention references of which Applicant is aware and which may have some bearing on the examination of this case.

This Information Disclosure Statement is not to be construed as a representation that a search has been conducted by Applicant or that no other material information exists. See 37 C.F.R. § 1.97(b). Furthermore, statements describing a particular reference indicate only Applicant's understanding of the teachings of that reference, and are not a representation that the reference has been reviewed completely or that no other pertinent or material information is contained in that reference.

Applicant's submittal of these references or discussion thereof in this IDS or the background of the specification is not to be construed as an admission that these references are prior art or material to the patentability of any pending claim.

As this submittal of references is occurring prior to the first Office Action, there is no fee due in connection with this submission

Please direct any questions or comments regarding this application or Information Disclosure Statement to John F. Klos (612) 321-2806.

Respectfully submitted,

Dated: May 25, 2004

By: John F. Klos
John F. Klos, Esq., Registration No. 37,162
Fulbright & Jaworski, L.L.P.
80 South Eighth Street, Suite 2100
Minneapolis, MN 55402-2112
Telephone: (612) 321-2800

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John F. Klos: John F. Klos
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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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of 2

Complete if Known

Application Number	10/731,816
Filing Date	9 December 2003
First Named Inventor	Burton, David, et al
Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	24.577-20US

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of 2

Attorney Docket Number 24.577-20US

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		WO 98/43536	10-08-1998	President &		
				Fellows of		
				Harvard College		

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND

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Substitute for form 1449/PTO		<i>Complete if Known</i>		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Application Number	10/731,816	
		Filing Date	9 December 2003	
		First Named Inventor	Burton, David, et al	
		Art Unit	Unknown	
		Examiner Name	Unknown	
Sheet 2	of 2	Attorney Docket Number	24,577-20US	

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T ²
		International Search Report, International Application No. PCT/AU02/00776 (13 June 2002), WO 02/100267 (19 December 2002)		

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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